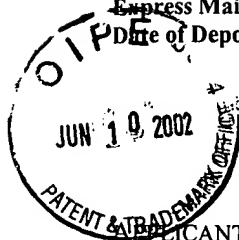


06-41-0~

JP 2161



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Srikanth Sundararajan
SERIAL NUMBER: 09/994,386 EXAMINER: Not Yet Assigned
FILING DATE: November 27, 2001 ART UNIT: 2161
FOR: *Method and System for Managing Component Changes to a Business Critical Application in a Production Environment*

BOX IDS

Commissioner for Patents
Washington, D.C. 20231



30623

PATENT TRADEMARK OFFICE

TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

1. Information Disclosure Statement (2 pages), in duplicate;
2. Modified Form 1449/PTO (1 page) in duplicate;
3. Copy of Cited Reference A1; and
4. Return Postcard

RECEIVED

JUN 17 2002

Technology Center 2100

No fee is believed to be due at this time. However, the Commissioner is authorized to charge any fees that may be due to Deposit Account No. 50-0311, Reference No. 24220-012. A duplicate copy of this transmittal letter is enclosed.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 617/542-6000, Boston, Massachusetts.

Respectfully submitted,

Brett N. Dorny, Esq. (Reg. No. 35,860)
Attorney for Applicant
c/o MINTZ, LEVIN, COHN, FERRIS
GLOVSKY AND POPEO, P.C.
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241

Dated: June 10, 2002

Express Mail Label No.: EV 074978479 US
Date of Deposit: June 10, 2002

7 uw 6/24/02
Attorney Docket No.: 24220-012



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Srikanth Sundararajan
SERIAL NUMBER: 09/994,386 EXAMINER: Not Yet Assigned
FILING DATE: November 27, 2001 ART UNIT: 2161
FOR: *Method and System for Managing Component Changes to a Business Critical Application in a Production Environment*

BOX IDS

Commissioner for Patents
Washington, D.C. 20231

RECEIVED

JUN 17 2002

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant hereby makes of record the document listed on the attached modified Form PTO-1449, as well as a copy of the listed document.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits in the above-identified case. Accordingly, no fee or certification is required under 37 C.F.R. §1.97.

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

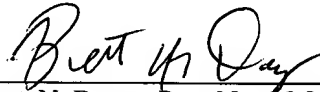
APPLICANTS:
U.S.S.N.:

Sundararajan
09/994,386

Notwithstanding any statements by the Applicant, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Please charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 24220-012.

Respectfully submitted,



Brett N. Dorny, Reg. No. 35,860
Attorney for Applicant
MINTZ, LEVIN, COHN, FERRIS,
GLOVSKY and POPEO, P.C.
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241

Dated: June 10, 2002

LIT 1340486v1

Please type a plus sign (+) in this box



PTO/SB (12-97)
Approved for use through 9/30/00. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, the information is required to respond to a collection of information unless it displays a valid OMB control number.

| | | |
|--|-------------------------------|------------------|
| Modified Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | Application Number | 09/994,386 |
| | Filing Date | 11/27/2001 |
| | First Named Inventor | Sundararajan |
| | Group Art Unit | 2161 |
| | Examiner Name | Not Yet Assigned |
| | Attorney Docket Number | 24220-012 |

RECEIVED

JUN 17 2002

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|----------|--------------------------|------------|-------------------------------------|-------|-----------|----------------------------|
| Exam Initials | Cite No. | U.S. Patent Document No. | Issue Date | Name of Patentee(s) or Applicant(s) | Class | Sub Class | Filing Date If Appropriate |
| | A1 | 5,499,357 | 03/12/96 | Sonty, et al. | 395 | 500 | 08/19/1994 |

Technology Center 2100

| FOREIGN PATENT DOCUMENTS | | | | | |
|--------------------------|----------|---------------------------------------|-------------------------------------|---------------------|--------------------|
| Exam Initials | Cite No. | Foreign Patent Document Office Number | Name of Patentee(s) or Applicant(s) | Date of Publication | Translation Yes No |
| | | | | | |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | |
|---|----------|--|
| Exam Initials | Cite No. | Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc. |
| | | |

* a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

| | | | |
|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.